



IFW

DOCKET NO.: 4616

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Hirokazu KUGAI et al. | ART UNIT: 1745  
SERIAL NO.: 10/725,860 | Confirmation No.: 2815  
FILED: December 1, 2003 |  
FOR: Method of Producing Negative  
Electrode for Lithium Secondary  
Cell

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

May 20, 2004

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATE OF MAILING ON  
LAST PAGE

Dear Sir:


- 1) Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 applicants enclose a Form PTO-1449, a copy of reference AV listed thereon, a copy of a Chinese Office Action, and an English translation of the Chinese Office Action issued in a counterpart Chinese application on April 2, 2004.
- 2) In view of the waiver of the requirement (see 1273 OG 55, August 5, 2003), we are not enclosing a copy of the cited U. S. Patents (References AT and AU).
- 3) This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, based on a Status call to the USPTO today.
- 4) The undersigned attorney hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, as seen from the Chinese Office Action date of April 2, 2004.

- 5) References AT and AU are in English. Reference AV corresponds to AU from which the relevance can be determined in English. Therefore, no discussion of the references is required.
- 6) Furthermore, the enclosed English translation of the Chinese Office Action shows why the Chinese Examiner found the cited references to be relevant. The Chinese Examiner's remarks are not ratified or adopted as accurate, but are submitted for the U. S. Examiner's consideration as to the relevance of the references.
- 7) Applicants respectfully request that the Examiner consider all references of record, return an initialled copy of the enclosed Form PTO-1449 and ensure that all references of record are printed on any patent issuing from this application.

Respectfully submitted,

Hirokazu KUGAI et al.  
Applicant

WFF:ar/4616  
Enclosure: postcard,  
Second Form PTO-1449,  
1 reference,  
copy of Chinese Office Action  
and English translation thereof

By   
Walter F. Fasse  
Patent Attorney  
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CERTIFICATE OF MAILING:

I hereby certify that this correspondence with all indicated enclosures is being deposited with the U. S. Postal Service with sufficient postage as first-class mail, in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA, 22313-1450 on the date indicated below.

Anita Morse - May 20, 2004  
Name: Anita Morse - Date: May 20, 2004

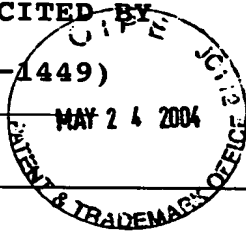
**SECOND**

Sheet 1 of 1

**LIST OF REFERENCES CITED BY  
APPLICANT**

(REVISED FORM PTO-1449)

DATED: May 20, 2004



Atty. Docket No.  
4616/CON of 4194

Serial No.:  
10/725,860

Applicant: Hirokazu KUGAI et al.

U.S. Filing Date:  
December 1, 2003

Art Unit:  
1745

**U. S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.							DATE	NAME	Cl.	Sub- Cl.	Fil. Date
	AT	5	5	2	2	9	5	5	06/1996	Brodd	-	-	-
	AU	6	3	6	5	3	0	0	04/2002	Ota et al.	-	-	-

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.							DATE	COUNTRY	Cl.	Sub- Cl.	Trans.	
													Yes	No
	AV	W000	/	3	3	4	0	9	06/2000	PCT	-	-	=AU	

**OTHER DOCUMENTS**

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EXAMINER	DATE
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.